



# Alpha-Rooting Method of Gray-scale Image Enhancement in The Quaternion Frequency Domain

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*February 2017*

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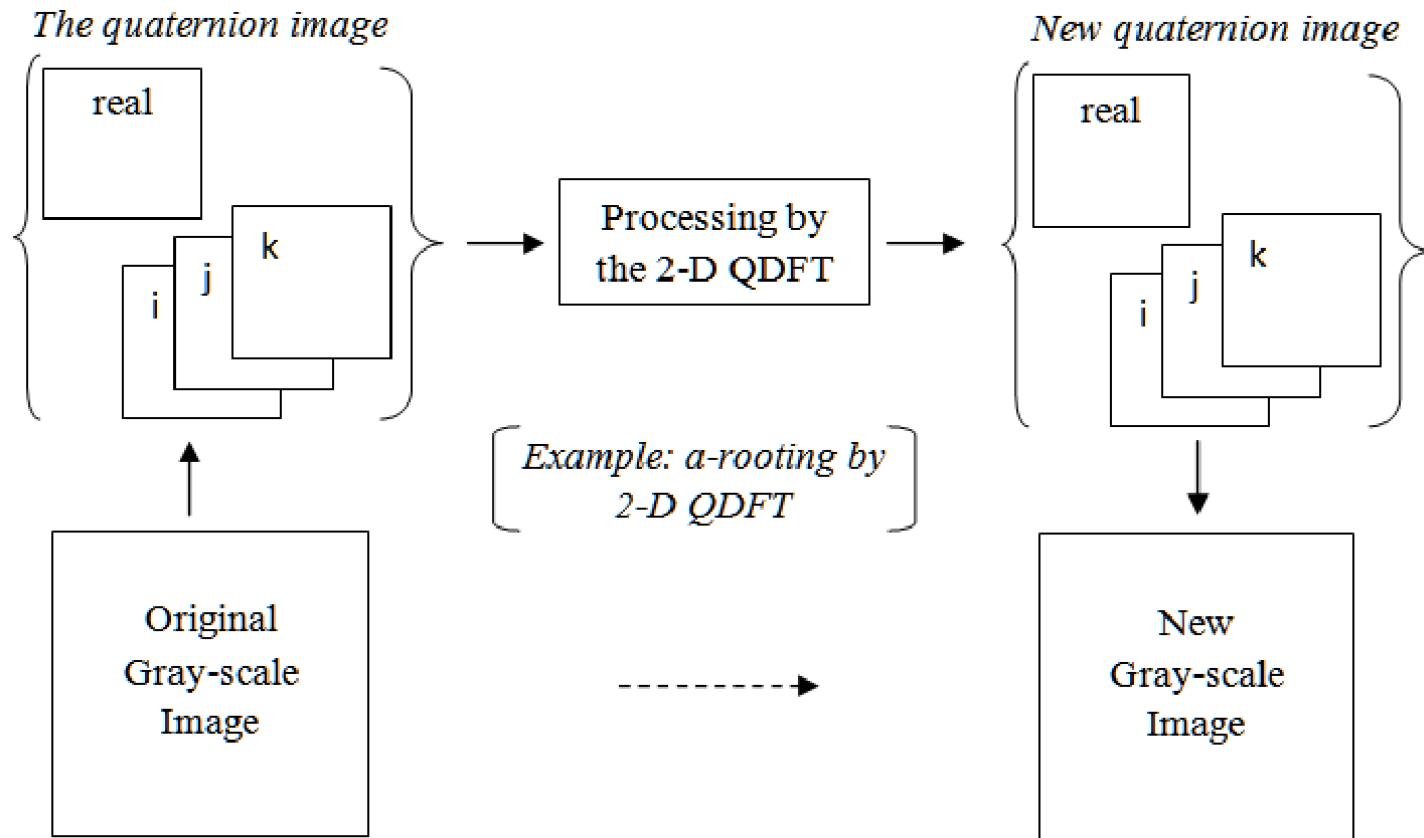
# Outline

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- Gray-scale to quaternion models
- Measures of image enhancement
- 2-D Right-Side Quaternion DFT
- Enhancement of images in grays
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# Introduction

- New gray-scale image representations in the 4-D quaternion space allows for images to be processed as quaternion and color images in the frequency domain, by using the concepts of the 2-D quaternion unitary transforms, such as quaternion discrete Fourier transforms, wavelet, and others.
- In this paper, we use the  $a$ -rooting method of image enhancement by the 2-D discrete quaternion Fourier transform (DQFT).
- Preliminary results show: that the application of new models of gray-scale images in the quaternion space results in high quality gray-scale images, and can be effectively used for enhancing images.

# Block-diagram of Gray-scale Imaging



- **Fig. 1** The block-diagram of gray-scale image processing in the frequency domain.

# Diagram: Main Steps

1. Gray scale image is transformed into the quaternion image of size smaller than the original image,

$$\{f_{n,m}; n = 0 : (N - 1), m = 0 : (M - 1)\} \rightarrow \{q_{n,m}; n = 0 : (N_1 - 1), m = 0 : (M_1 - 1)\},$$

where

$$q_{n,m} = a_{n,m} + (i(q_i)_{n,m} + j(q_j)_{n,m} + k(q_k)_{n,m}) \quad i^2 = j^2 = k^2 = -1.$$

2. The quaternion image is processed in the frequency domain

$$q_{n,m} \rightarrow \hat{q}_{n,m}, \quad n = 0 : (N_1 - 1), m = 0 : (M_1 - 1)$$

by using for instance, the concept of the 2-D QDFT.

3. The processed quaternion image is transformed back into gray-scales

$$\{\hat{q}_{n,m}; n = 0 : (N_1 - 1), m = 0 : (M_1 - 1)\} \rightarrow \{\hat{f}_{n,m}; n = 0 : (N - 1), m = 0 : (M - 1)\}$$

# Quaternion Numbers

The quaternion can be considered as a 4-D generation of a complex number with one real part and three imaginary parts

$$Q = a + bi + cj + dk = a + (bi + cj + dk),$$

with three imaginary units  $i$ ,  $j$ , and  $k$ .

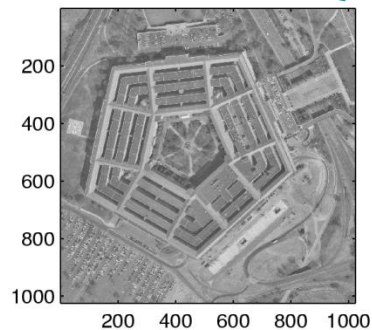
$$\begin{aligned} ij &= -ji = k, & jk &= -kj = i, \\ ki &= -ik = -j, & i^2 &= j^2 = k^2 = ijk = -1. \end{aligned}$$

Quaternion conjugate and modulus are

$$\bar{Q} = a - (bi + cj + dk), \quad |Q| = \sqrt{a^2 + b^2 + c^2 + d^2}.$$

# Gray-Scale-To-Quaternion Image Model

*Model* : Image  $f_{n,m}$  of size  $N \times M$  can be divided by 4 parts



.	.	.	.	.	.
.	$f_{2n,2m}$	$f_{2n,2m+1}$	$f_{2n,2m+2}$	$f_{2n,2m+3}$	.
.	$f_{2n+1,2m}$	$f_{2n+1,2m+1}$	$f_{2n+1,2m+2}$	$f_{2n+1,2m+3}$	.
.	$f_{2n+2,2m}$	$f_{2n+2,2m+1}$	$f_{2n+2,2m+2}$	$f_{2n+2,2m+3}$	.
.	$f_{2n+3,2m}$	$f_{2n+3,2m+1}$	$f_{2n+3,2m+2}$	$f_{2n+3,2m+3}$	.
.	.	.	.	.	.

$$\begin{aligned} f_e &= \{(f_e)_{n,m}\} = \{f_{2n,2m}\}, & f_i &= \{(f_i)_{n,m}\} = \{f_{2n,2m+1}\}, \\ f_j &= \{(f_j)_{n,m}\} = \{f_{2n+1,2m}\}, & f_k &= \{(f_k)_{n,m}\} = \{f_{2n+1,2m+1}\}, \end{aligned}$$

where  $n = 0 : (N/2 - 1)$ ,  $m = 0 : (M/2 - 1)$ .

These four parts can be considered as components of the quaternion matrix which we call the quaternion image and denote by  $q(f)$ ,

$$q(f) = (f_e, f_i, f_j, f_k) = f_e + (if_i + jf_j + kf_k)$$

# Gray-Scale-To-Quaternion Image Model

When transforming the gray-scale image into the quaternion subspace, we may assume that the components  $f_i$ ,  $f_j$ , and  $f_k$  are the set-components of the imaginary part of the quaternion image, as shown in this table

.	.	.	.	.	.
.	<b>E</b> : $f_{2n,2m}$	<b>R</b> : $f_{2n,2m+1}$	<b>E</b> : $f_{2n,2m+2}$	<b>R</b> : $f_{2n,2m+3}$	.
.	<b>G</b> : $f_{2n+1,2m}$	<b>B</b> : $f_{2n+1,2m+1}$	<b>G</b> : $f_{2n+1,2m+2}$	<b>B</b> : $f_{2n+1,2m+3}$	.
.	<b>E</b> : $f_{2n+2,2m}$	<b>R</b> : $f_{2n+2,2m+1}$	<b>E</b> : $f_{2n+2,2m+2}$	<b>R</b> : $f_{2n+2,2m+3}$	.
.	<b>G</b> : $f_{2n+3,2m}$	<b>B</b> : $f_{2n+3,2m+1}$	<b>G</b> : $f_{2n+3,2m+2}$	<b>B</b> : $f_{2n+3,2m+3}$	.
.	.	.	.	.	.

**Table 1.** The gray-scale image mapping to the RGB color model:

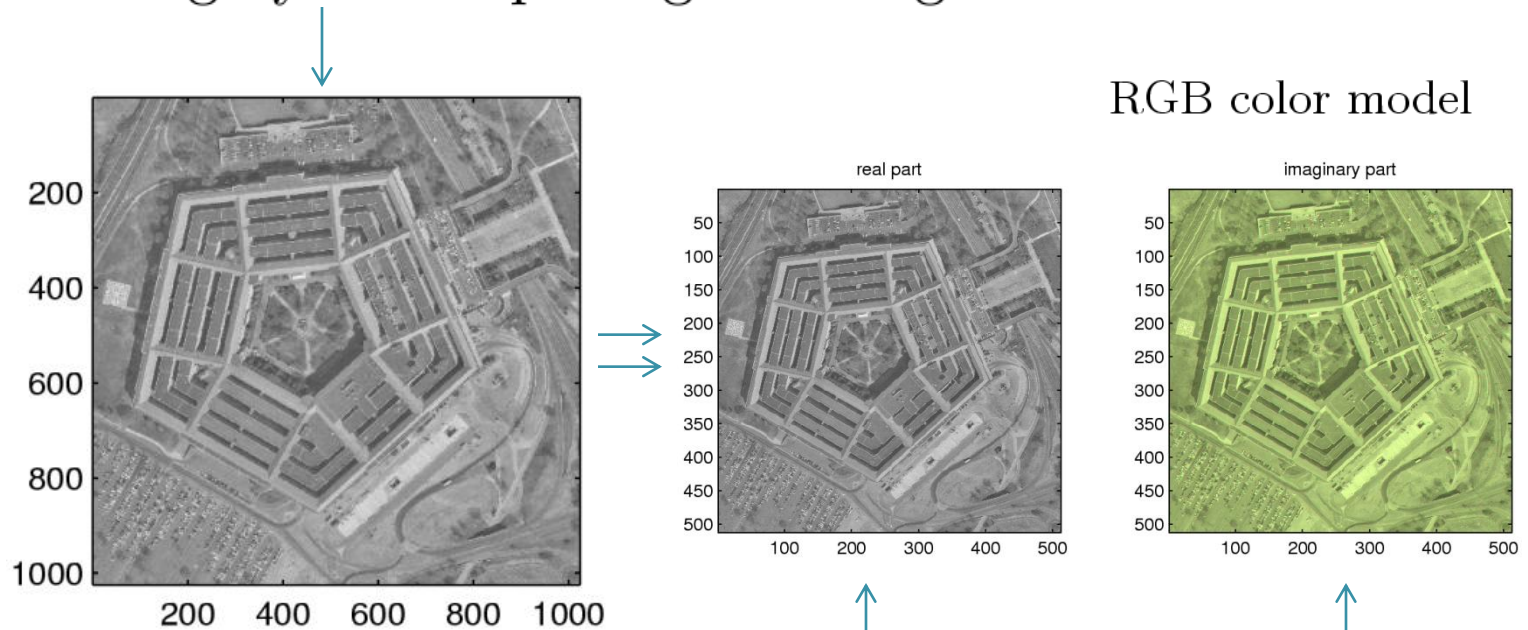
The letter **E** stands for the gray-levels, **R** for the red color, **G** for the green color, and **B** for the blue color.

We assume that the component  $f_i$  is set to the red color channel, and  $f_j$  and  $f_k$  to the green and blue channels, respectively, as shown in the table.



# Gray-Scale-To-Quaternion Image Model: Example

The gray-scale “pentagon” image of size  $1024 \times 1024$ .



**Fig. 2**

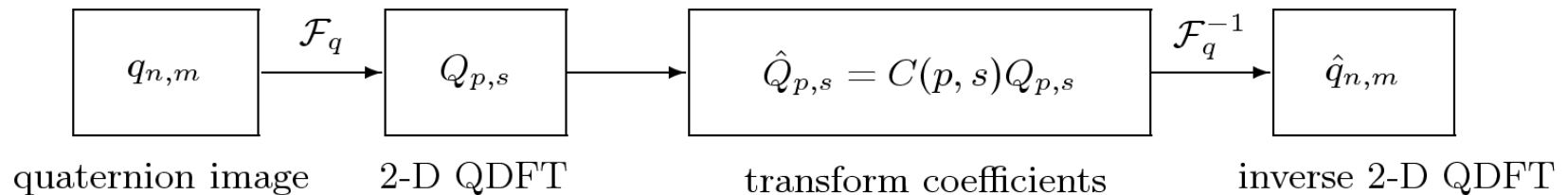
(a) the real part and (b) the imaginary part

**Fig. 3** The quaternion image of size  $512 \times 512$ :

The gray-scale image and color image of size  $512 \times 512$  each represent the original gray-scale “pentagon” image of size  $1024 \times 1024$  in the quaternion space.

## Quaternion-TRANSFORM-BASED IMAGE ENHANCEMENT

The basic idea behind the frequency domain methods consists in computing a discrete unitary transform of the image, for instance the 2-D quaternion DFT (2-D QDFT), manipulating the transform coefficients by a operator  $M$  of magnitude, and performing the inverse transform.



**Fig. 4** Block-diagram of the quaternion Fourier transform-based image enhancement

The  $a$ -rooting method of image enhancement:

$$|Q(p,s)| \rightarrow M(|Q(p,s)|) = |Q(p,s)|^\alpha, \quad p,s = 0 : (N-1).$$

# Quaternion Fourier Transform-Based $\alpha$ -Rooting Image Enhancement Algorithm

Input is a quaternion image or color image and the value of the parameter  $\alpha$  is from the interval  $(0,1]$ .

- **Step 1.** Perform the 2-D QDFT of the color image.
- **Step 2.** Multiply the transform coefficients,  $Q_{p,s}$ , by the quaternion factors  $C(p,s)=c/Q_{p,s}^{\alpha-1}$ .
- **Step 3.** Perform the inverse 2-D QDFT.

The output is an enhanced quaternion image.

The imaginary part of the output is an enhanced color image.

# Quantitative Measure of Image Enhancement: Gray-scale Image case

To measure the quality of gray-scale images and select “optimal” processing parameter (or parameters) for image enhancement, we consider the quantitative measure, which is known as EME – enhancement measure estimation.

The quantitative measure of enhancement of the image processed by  $\Phi$  transform  $\{f_{n,m}\} \rightarrow \{\hat{f}_{n,m}\}$

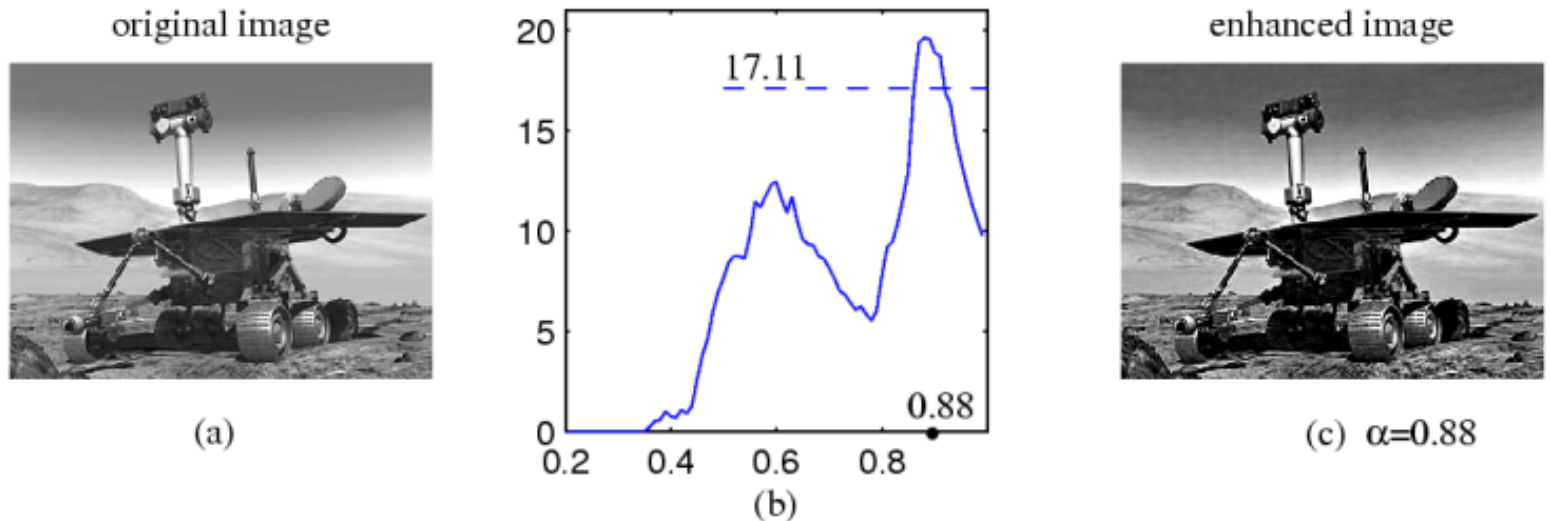
$$EME_{\mathbf{a},\Phi}(\hat{f}) = EME_{L_1,L_2;\mathbf{a},\Phi}(\hat{f}) = \frac{1}{k_1 k_2} \sum_{k=1}^{k_1} \sum_{l=1}^{k_2} 20 \log_{10} \left[ \frac{\max_{k,l}(\hat{f})}{\min_{k,l}(\hat{f})} \right]$$

The image  $f_{n,m}$  of size  $N_1 \times N_2$  is divided by  $k_1 k_2$  blocks of size  $L_1 \times L_2$ , for instance  $7 \times 7$  or  $9 \times 9$ .

## Alpha-rooting – 2-D DFT Image Enhancement: *Example 1*

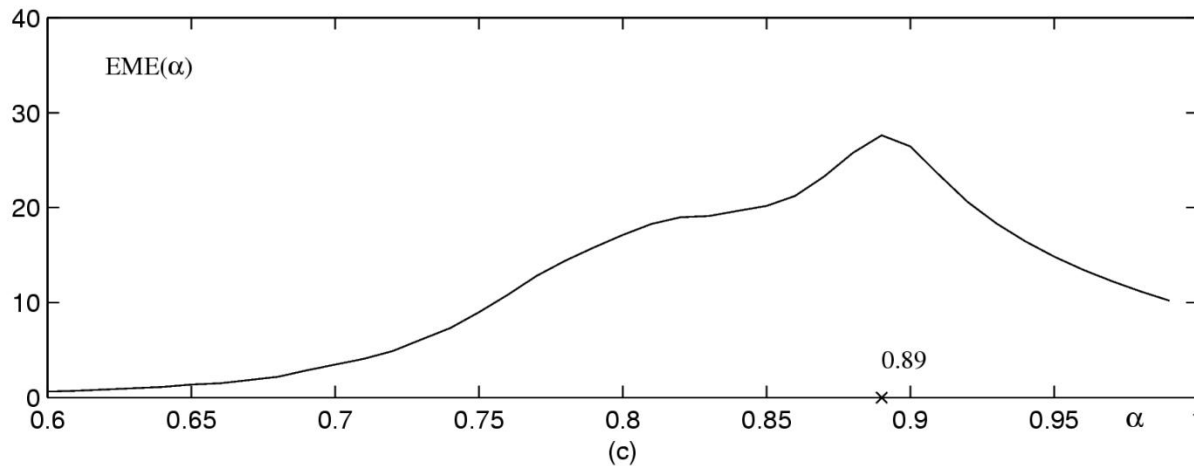
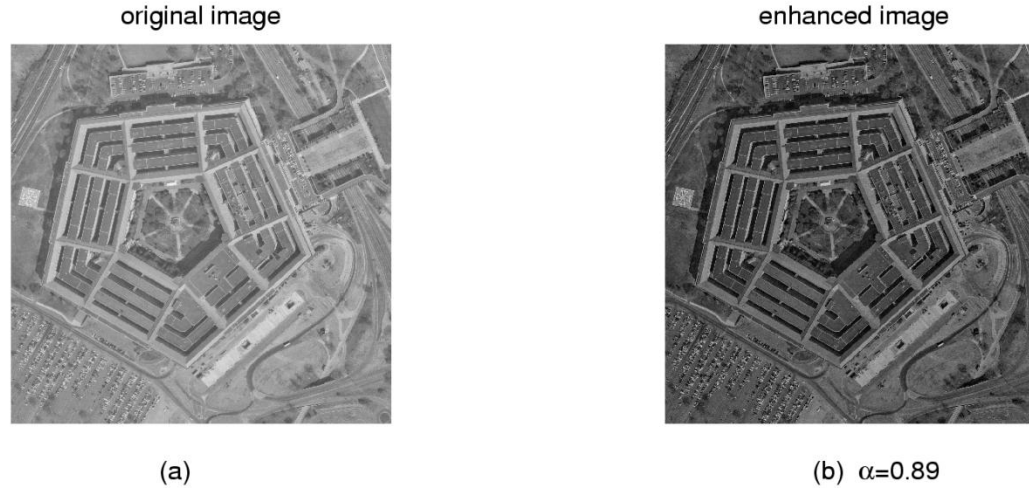
The enhancement equals

$$EME_{0.88}(\hat{f}) - EME(f) = 19.61 - 17.11 = 2.50$$



**Fig. 2** (a) The original image, (b) the curve  $EME(\alpha)$ , and (c) the image enhanced by the  $\alpha$ -rooting method.

## Alpha-rooting by the 2-D DFT: *Example 2*



**Fig. 3** Parameterized 2-D DFT image-enhancement (a) “pentagon” image  $1024 \times 1024$ , (b) the enhanced image, and (c) the curve of the enhancement measure calculated by  $9 \times 9$  blocks.

## Color / Quaternion Image Enhancement Measure

The images are in the RGB color space,  $f_{n,m} \rightarrow \hat{f}_{n,m}$

$$f = (f_R, f_G, f_B) \text{ and } \hat{f} = (\hat{f}_R, \hat{f}_G, \hat{f}_B)$$

EME for color images is calculated by

$$EMEC(\hat{f}) = \frac{1}{k_1 k_2} \sum_{k=1}^{k_1} \sum_{l=1}^{k_2} 20 \log_{10} \left[ \frac{\max_{k,l} \{\hat{f}_R, \hat{f}_G, \hat{f}_B\}}{\min_{k,l} \{\hat{f}_R, \hat{f}_G, \hat{f}_B\}} \right].$$

Here, the maximum and minimum values of the image in the (k,l)-th block are calculated as

$$\max(\hat{f}) = \max(\hat{f}_R, \hat{f}_G, \hat{f}_B) \text{ and } \min(\hat{f}) = \min(\hat{f}_R, \hat{f}_G, \hat{f}_B).$$

For four-component quaternion images, the EMEC is calculated similarly (we can call it EMEQ).

## Right-side 2-D Quaternion Discrete Fourier Transform

Let  $q_{n,m}$  be the quaternion discrete image of size  $N \times N$   
 $\mu$  be the pure unit quaternion,  $\mu^2 = -1$ .

The right-side 2-D QDFT is defined as

$$Q_{p,s} = \sum_{n=0}^{N-1} \sum_{m=0}^{N-1} q_{n,m} W_{\mu}^{np+ms}, \quad p, s = 0 : (N-1).$$

where

$$W_{\mu} = W_{\mu;N} = \exp(-2\pi\mu/N)$$

The inverse right-side 2-D QDFT is calculated by

$$q_{n,m} = \frac{1}{N^2} \sum_{p=0}^{N-1} \sum_{s=0}^{N-1} Q_{p,s} W_{\mu}^{-(np+ms)}, \quad n, m = 0 : (N-1).$$

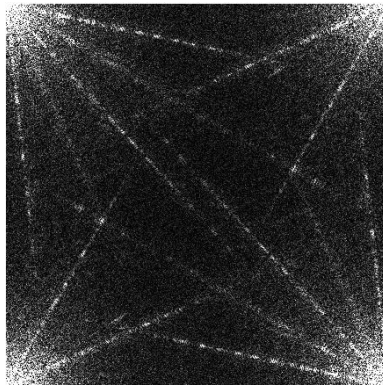


# Right-side 2-D Quaternion Discrete Fourier Transform

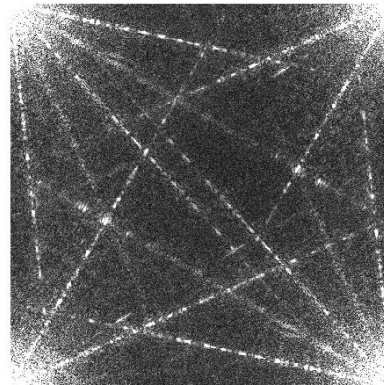
Example: 512×512 quaternion “pentagon” image

$$Q_{p,s} = (Q_{p,s})_e + \left( i(Q_{p,s})_i + j(Q_{p,s})_j + k(Q_{p,s})_k \right), \quad p, s = 0 : 511$$

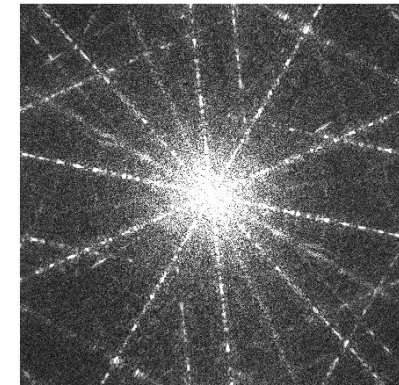
The 512×512 2-D QDFT



(a)



(b)

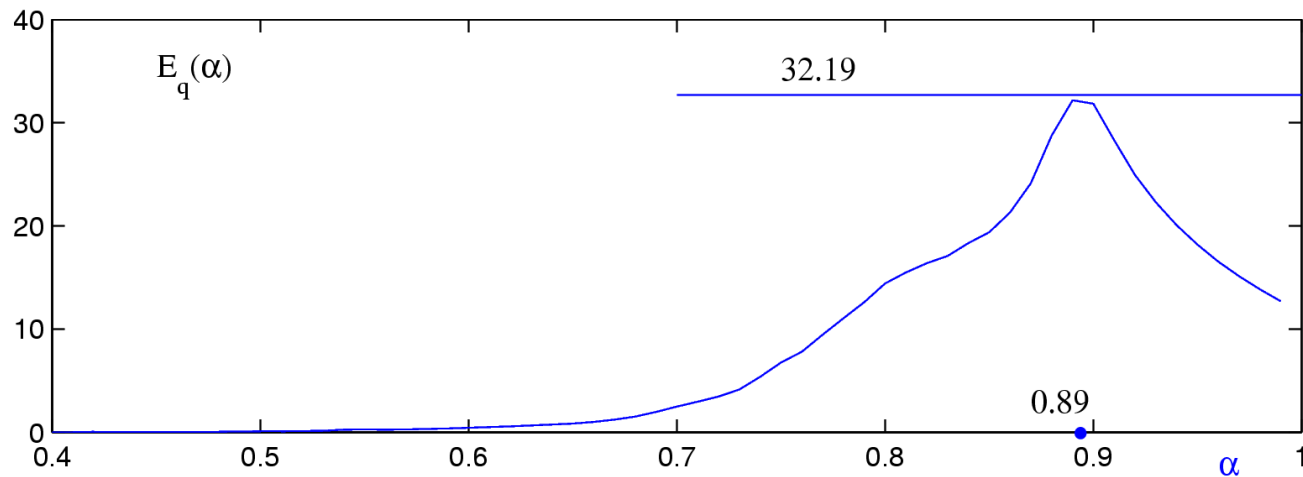


(c)

**Fig. 4** Magnitudes of (a) real component,  $|Q_{p,s})_e|$ , and imaginary component,  $\sqrt{(Q_{p,s})_i^2 + (Q_{p,s})_j^2 + (Q_{p,s})_k^2}$  before (b) and after (c) cyclicly shifting to the center.

## Alpha-rooting 2-D Quaternion DFT: *Example 2 ...*

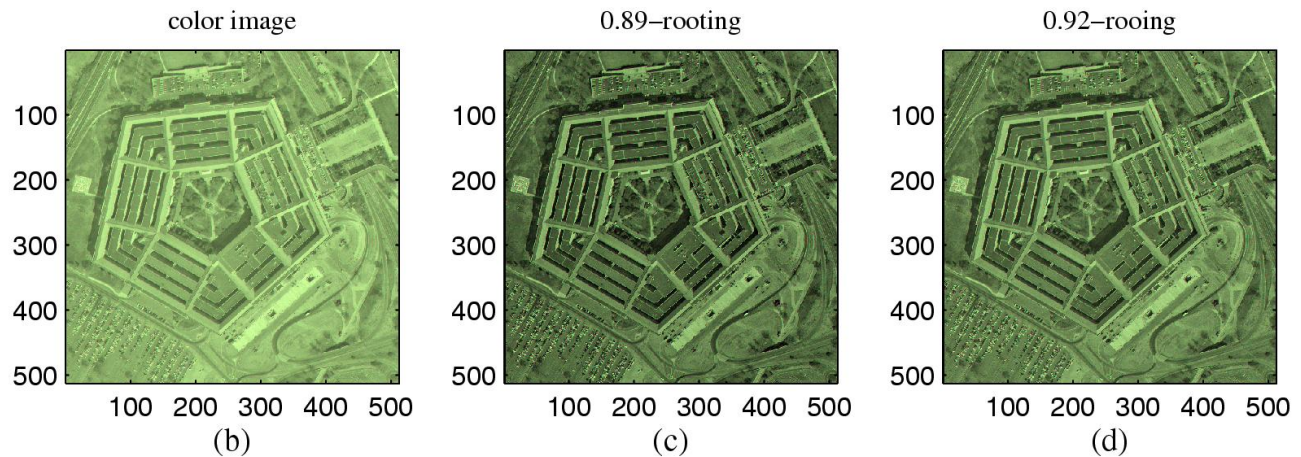
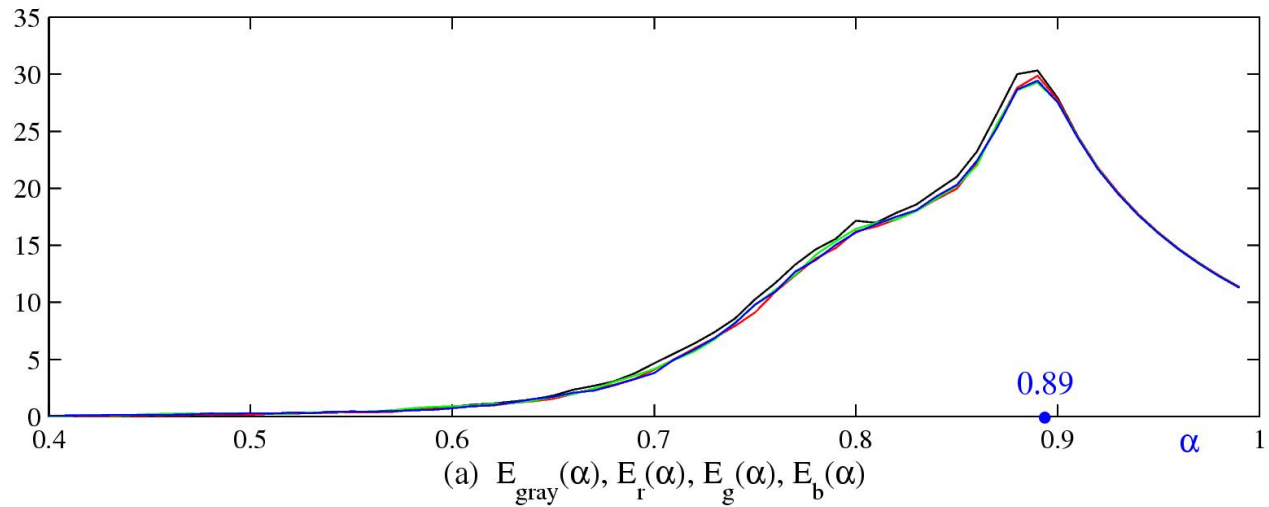
Figure 5 shows the graph of the EMEC measure as a function of  $\alpha$  with the same optimal value 0.89, for the same example with the quaternion “pentagon” image.



**Fig. 5** The EMEQ of the alpha-rooting by the 2-D QDFT.

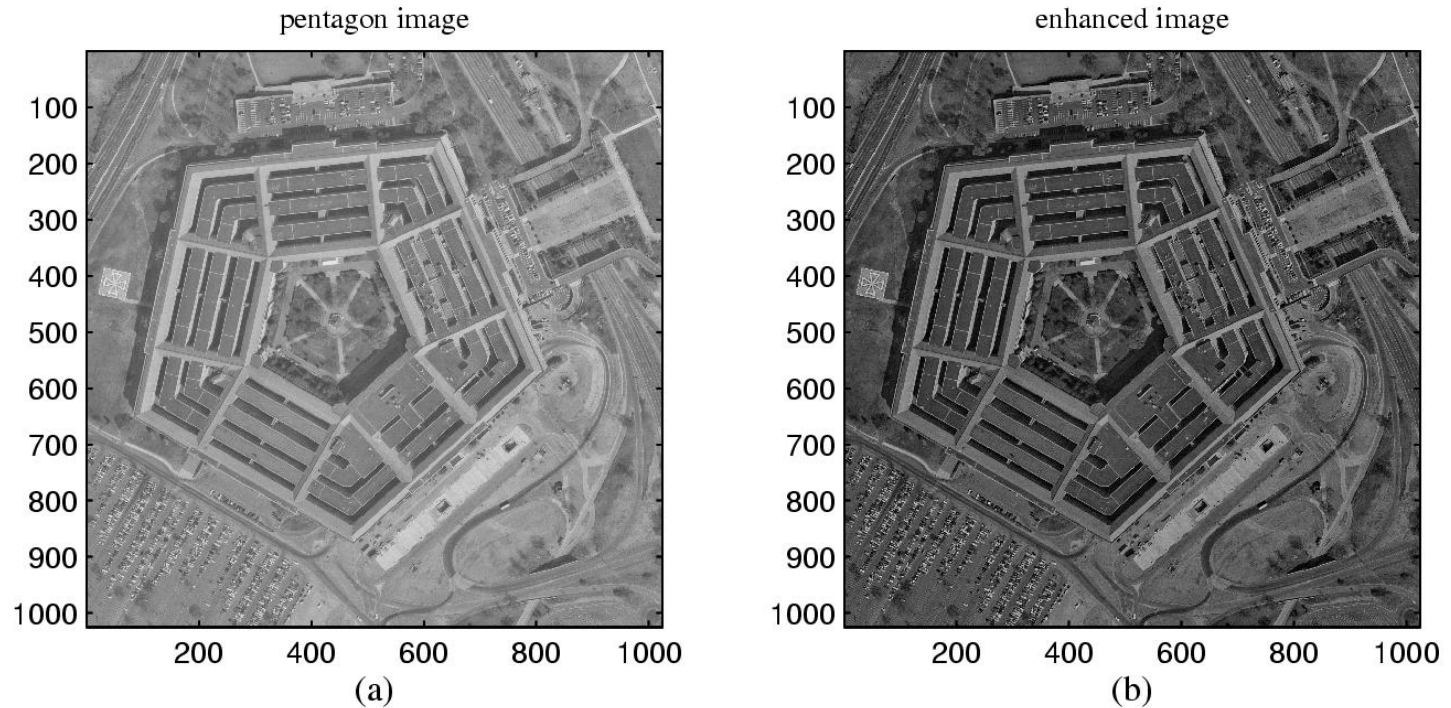
The curve of the enhancement measure EMEQ was calculated by  $9 \times 9$  blocks.

## Image enhancement: Examples



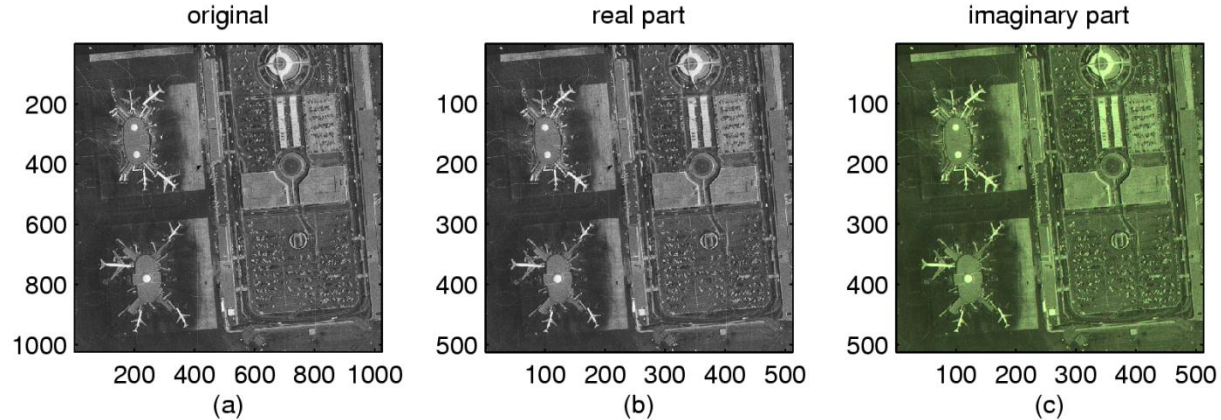
**Fig. 6** (a) Enhancement functions for three channels of the tree image.  
(b,c) The  $\alpha$ -rooting by the 2-D QDFT.

## Image enhancement: *Example*

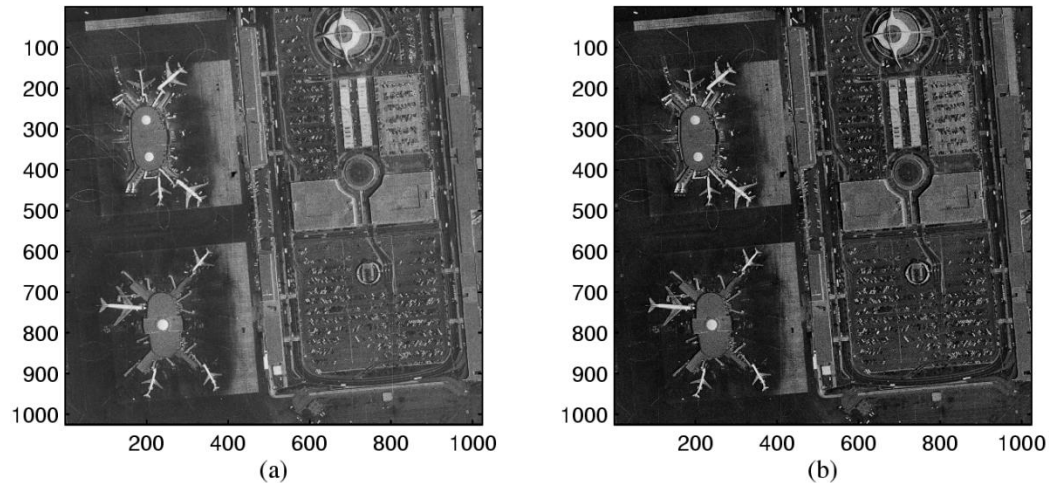


**Fig. 7** (a) The original  $1024 \times 1024$  gray-scale “pentagon” image and (b) the enhanced image after processing by the alpha-rooting in the quaternion space.

## Image enhancement: Example

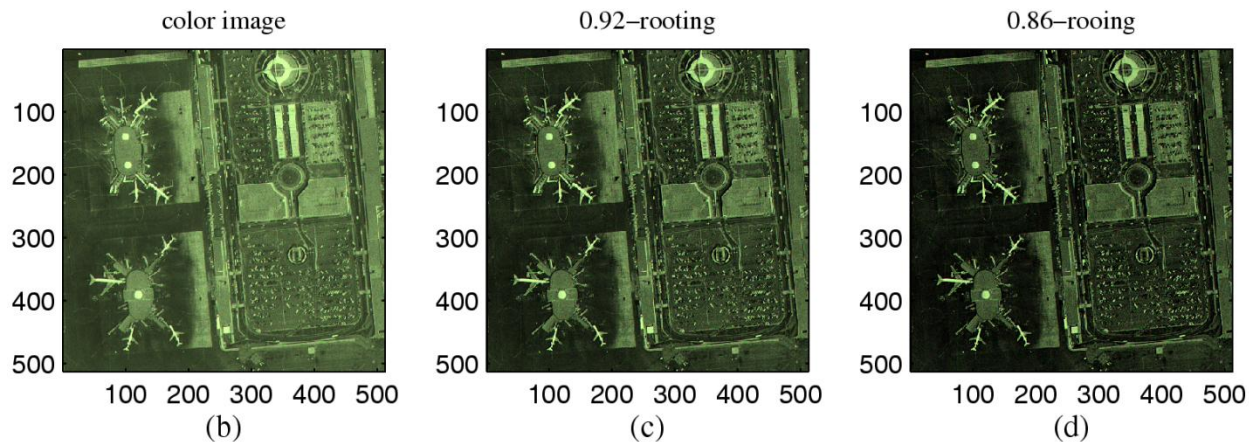
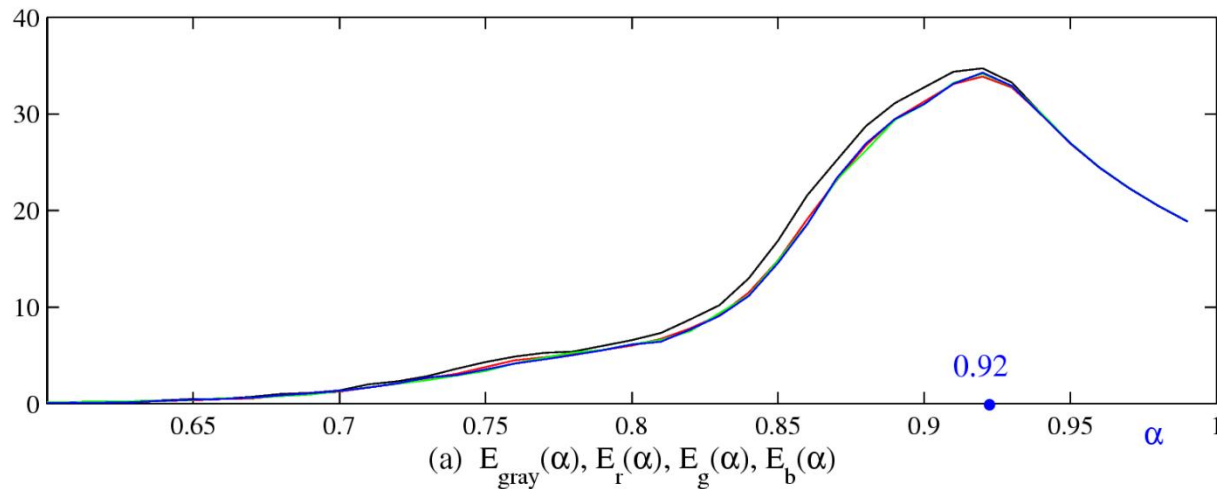


**Fig. 8** (a) The original image "5.3.02" and (b,c) the quaternion image.



**Fig. 9** (a) The original image and (b) the enhancement by  $\alpha$ -rooting in the quaternion space (by using the 2-D QDFT).

## Image enhancement: Example



**Fig. 10** (a) Four EME measures of the  $\alpha$ -rooting, and the imaginary parts of (b) the quaternion “pentagon” image, and the images enhanced by (c) the 0.92-rooting and (d) the 0.86-rooting.

## Summary

- New approach of image enhancement is proposed for gray-scale images, which is based on the idea of transforming the image into the quaternion space, where the image can be enhanced and filtered by using the concept of the 2-D QDFT.
- The enhancement by alpha-rooting by 2-D QDFT is described.
- Preliminary results show that the application of the 2-D QDFT plus the alpha-rooting method can be effectively used for enhancing gray-scale images.

## References

- [1] A.M. Grigoryan and S.S. Aгаian, *Practical Quaternion Imaging with MATLAB*, SPIE Press, 2017.
- [2] A.M. Grigoryan and S.S. Aгаian, “Retolling of color imaging in the quaternion algebra,” *Applied Mathematics and Sciences: An International Journal (MathSJ)*, vol. 1, no. 3, pp. 23-39, 2014.
- [3] A.M. Grigoryan, S.S. Aгаian, “Tensor transform-based quaternion Fourier transform algorithm,” *Information Sciences*, vol. 320, pp. 62-74, November 2015